

SENATORS AND OFFICERS POST-TRAVEL DISCLOSURE OF TRAVEL EXPENSES

Date/Time Stamp:

This disclosure, along with a copy of the Private Sponsor Travel Certification Form and all attachments, MUST be provided to the Office of Public Records, Room 232 of the Hart Building, within 30 days after the travel is completed.

6 NOV 30 AM 11:27

In compliance with Rule 35.2(a) and (c), I THOMAS R. CARPER, make the following
(Name of Senator/Officer)

disclosures with respect to travel expenses that have been or will be reimbursed/paid for me.

Private Sponsor(s) (list all): The Panetta Institute for Public Policy

Travel date(s): November 12-13, 2016

Destination(s): Monterey, California

Name of accompanying family member (if any): Martha Carper

Relationship to Member/Officer: ☒ Spouse ☐ Child

FILL IN THE APPROPRIATE LINES. IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Senator/Officer:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate	\$992.20	\$142.08	\$221.67	
<input checked="" type="checkbox"/> Actual Amount				

Expenses for Accompanying Spouse or Dependent Child (if applicable)

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate	\$992.20	\$142.08	\$221.67	
<input checked="" type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.): To receive the Jefferson-Lincoln Award from the Panetta Institute during a special ceremony for him and four other honorees.

I HAVE MADE A DETERMINATION THAT THE TRAVEL DESCRIBED ABOVE WAS IN CONNECTION WITH MY DUTIES AS AN OFFICEHOLDER, AND DID NOT CREATE THE APPEARANCE THAT I WAS USING PUBLIC OFFICE FOR PRIVATE GAIN.

11/28/16

(Date)

Tom Carper

(Signature of Senator/Officer)



The Panetta Institute for Public Policy

July 15, 2016

The Honorable Tom Carper
United States Senate
513 Hart Senate Office Building
Washington, DC 20510

Dear Tom:

As a follow up to our conversation, I am pleased and honored to confirm my invitation for you to receive the Panetta Institute 2016 *Jefferson-Lincoln Award*. We would be honored to recognize your outstanding stewardship of our democracy through dedicated, responsible and bipartisan leadership. As this year's honoree, we will present your award at a gala black-tie dinner that will be held on Saturday, November 12, 2016 in the Ballroom of The Inn at Spanish Bay in Pebble Beach, California.

The purpose of this award is to recognize those who, in their careers, have demonstrated the highest standards of commitment to the nation's interest. Your respected career in public service has been marked by a willingness to find consensus in order to achieve legislative goals critical to our country. At a time of dysfunction and partisan division, you have worked across the aisle to get things done.

The *Jefferson-Lincoln Awards* are one of a number of the Panetta Institute's distinct programs that serve our mission of inspiring men and women to lives of public service. This recognition celebrates the achievements of outstanding American citizens in politics and journalism so that others may gain inspiration from their example. Last year's honorees were *CBS Evening News* anchor Scott Pelley, ABC News senior foreign affairs correspondent Martha Raddatz, CBS News national security correspondent David Martin and NBC News chief Pentagon correspondent Jim Miklaszewski. Past recipients include U.S. Senators Saxby Chambliss and Barbara Mikulsky, and CBS *Face the Nation* host, Bob Schieffer; Democratic Whip Steny Hoyer, Secretary of Transportation Ray LaHood and CNN anchor and chief political correspondent Candy Crowley; former Secretary of Defense Robert Gates, former director of the Office of Management and Budget Alice Rivlin and CNN lead political anchor Wolf Blitzer; journalists Tom Brokaw, Cokie Roberts and David Brooks; California Governor Arnold Schwarzenegger, U.S. Representatives Jane Harman and Jim Saxton and journalist Ronald Brownstein; journalists Judy Woodruff, David Broder and Belva Davis; U.S. Representatives Sherry Boehlert and Ike Skelton; U.S. Senators Susan Collins, Dianne Feinstein and Joe Lieberman; U.S. Senators John Breaux and Olympia Snowe; Secretary of Transportation Norman Mineta and former Secretary of Defense William Cohen; U.S. Representatives Chris Shays and Marty Meehan; U.S. Senators Russ Feingold and John McCain; U.S. Representatives John Murtha and Bill Young; and U.S. Senators Daniel Patrick Moynihan and John Chafee.


Americans and the rest of the world have had the great privilege of your exemplary leadership for many years. We believe that this honor is both deeply deserved and most appropriate. Our institute is dedicated to inspiring lives of public service. Your career is a reflection of all that is significant to our democracy.

We will pay for expenses for two including airfare, hotel accommodations, meals and incidentals. In order to finalize your nomination, however, it is required that you be present to receive the award.

July 15, 2016

Thank you very much for considering this honor.

Sincerely,



Leon E. Panetta
Chairman

LEP:rk/s

100-443887-100

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

The Panetta Institute for Public Policy

1. Sponsor(s) of the trip (please list all sponsors): _____
2. Description of the trip: To receive the Jefferson-Lincoln Award from the Panetta Institute during a special ceremony for him and four other honorees.
3. Dates of travel: November 12 to November 13, 2016
4. Place of travel: Final destination: Monterey, California
5. Name and title of Senate invitees: United States Senator Tom Carper
6. I *certify* that the trip fits one of the following categories:
 - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
 - OR –
 - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
 - AND –
 - ☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I *certify* that:
 - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
 - AND –
 - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

– OR –

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The Panetta Institute is solely responsible for planning and organizing the trip.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Panetta Institute is a bi-partisan center for the study of public policy helping meet the challenges of

the 21st century, attracting men and women to lives of public service, helping them master the policy

process and developing their skills as leaders. Senator Carper exemplifies this mission.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

In sponsoring this trip, the Panetta Institute pays for air and ground transportation along with lodging and

meals. Since 2000, the Panetta Institute has honored 12 United States senators and 10 United States

representatives.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

1) Leadership Seminar for student body officers; 2) Congressional Intern Program for California

Congressional delegation; 3) Leon Panetta Lecture Series for national political leaders, journalist and policy-thinkers; 4) Policy Research Fellows; 5) Monterey County Reads for grades 1, 2 and 3.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$2,000 (Transportation expenses for two)	\$230 (one night)	\$185.00 (per person)	

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The trip involves an event that is arranged without regard to congressional participation.

18. Reason for selecting the location of the event or trip

The venue is accessible to supporters of the Panetta Institute.

19. Name and location of hotel or other lodging facility:

The Inn at Spanish Bay, Pebble Beach.

20. Reason(s) for selecting hotel or other lodging facility:

The hotel has been the location of this event over the past 16 years; other invitees are staying here and all awards-related activities will occur at this hotel.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Senator Carper will be staying in a standard room and will be receiving the same meals as other guests.

The hotel is providing the room at a reduced rate. Although daily expenses for the trip exceed per diem rates, this trip was not planned with regard to congressional participation.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

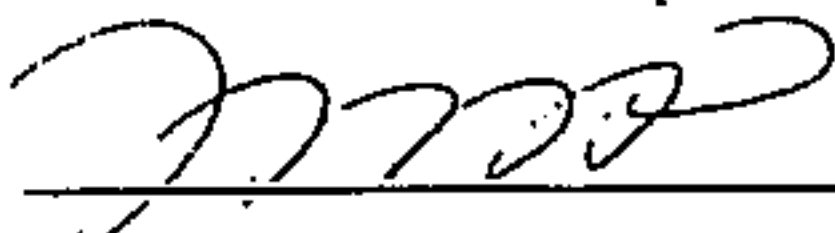
Business class

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor: 

Sylvia M. Panetta, Co-Chair and CEO

Name and Title:

The Panetta Institute for Public Policy

Name of Organization:

Address: 100 Campus Center, Building 86-E; CSUMB; Seaside, California 93955

Telephone Number: 831-582-4200

Fax Number: 831-582-4208

E-mail Address: info@panettainstitute.org

Schedule for The Hon. Tom Carper

Saturday, November 12, 2016

Jefferson-Lincoln Awards: An Evening To Honor Lives of Public Service

(NOTE: Details subject to change.)

Saturday, November 12, 2016

- 4:45 p.m. Place: The Inn at Spanish Bay, Pebble Beach.
Event: Panetta Institute staff escorts Senator Tom Carper to private reception for photo reception.
- 5:00 p.m. Place: The Board Room, The Inn at Spanish Bay, Pebble Beach.
Event: Senator Carper, Lisa Murkowski, Sam Farr, Fran Townsend and Robert Mueller meet for photographs with dignitary table hosts. Photographer takes pictures of Senator Carper, other honorees, Secretary and Mrs. Panetta, and table host (Ms. Sue Antle).
- 5:45 p.m. Place: The Board Room, The Inn at Spanish Bay.
Event: Senator Carper is escorted to the Ballroom Gallery by dignitary table host, Ms. Antle.
- Place: The Ballroom Gallery, The Inn at Spanish Bay, Pebble Beach.
Event: Wine and hors d'oeuvres reception. (Approximately 420 guests in attendance.)
- 6:00 p.m. Place: The Ballroom Gallery, The Inn at Spanish Bay.
Event: Ballroom doors open.
- 6:15 p.m. Place: The Ballroom Gallery, The Inn at Spanish Bay.
Event: Chimes ring for guests to be seated in the Ballroom.
- 6:30 p.m. Place: The Ballroom Gallery, The Inn at Spanish Bay.
Event: Chimes ring again for guests to be seated in the Ballroom.
- 6:45 p.m. Place: The Ballroom, The Inn at Spanish Bay, Pebble Beach.
Event: Master of Ceremonies David Armanasco calls for the program to begin. (Members of the media are escorted into the Ballroom.) Dignitary table host, Ms. Antle, escorts Senator Carper to his table.
- 6:47 p.m. Place: The Ballroom, The Inn at Spanish Bay.
Event: Table guests all seated; Master of Ceremonies begins program and announces the entrance of the evening's chefs heralded by The Inn at Spanish Bay Bagpiper.
- When the chefs leave the ballroom, Master of Ceremonies recognizes elected representatives and other dignitaries in attendance.

-continued-

Jefferson-Lincoln Awards: An Evening To Honor Lives of Public Service
(NOTE: Details subject to change.)

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